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PATENT  
Attorney Docket No.: 020910-000110US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On June 3, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Paul F. Townsend

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Michael A. Sherman et al.

Application No.: 10/053,253

Filed: November 2, 2000

For: METHOD FOR LARGE  
TIMESTEPS IN MOLECULAR  
MODELING

Examiner: Unassigned

Art Unit: 1644

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE SPECIFICATION:

Please replace the paragraph beginning at page 3, line 1, with the following rewritten paragraph:

Indeed, the field of molecular modeling has successfully simulated the motion (molecular dynamics or MD) and determined energy minima or rest states (static

analysis) of many complex molecular systems by computers. Typical molecular modeling applications have included enzyme-ligand docking, molecular diffusion, reaction pathways, phase transitions, and protein folding studies. Researchers in the biological sciences and the pharmaceutical, polymer, and chemical industries are beginning to use these techniques to understand the nature of chemical processes in complex molecules and to design new drugs and materials accordingly. Naturally, the acceptance of these tools is based on several factors, including the accuracy of the results in representing reality, the size and complexity of the molecular systems that can be modeled, and the speed by which the solutions are obtained. Accuracy of many computations has been compared to experiment and generally found to be adequate within specified bounds. However, the use of these tools in the prior art has required enormous computing power to model molecules or molecular systems of even modest size to obtain molecular time histories of sufficient length to be useful.

Please replace the paragraph beginning at page 5, line 20 with the following rewritten paragraph:

Some molecular dynamicists have experimented with implicit methods and rejected them as impractical. See, for example, Schlick, *Computational Molecular Dynamics: Challenges, Methods, Ideas*, Deuffhard *et al.* (ed.), Springer, 1999, p. 238. In particular, the propensity of stable methods to remove energy from a simulation through induced damping was considered a fatal flaw, as has been the large amount of computing time required by the nonlinear system at each timestep. See Schlick, *op. cit.*, pp. 238-9, and 244. The damping effect was considered a critical flaw because most molecular dynamics simulations are required to conserve energy. In Schlick's review cited above, the molecular models included Langevin terms that introduced artificial forces to restore the energy lost due to explicit damping and due to the stable integration method. These forces actually prevent the stable method from taking the large timesteps, as desired. Although implicit methods can be used effectively in such computations, there are also

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many molecular modeling computations which do not need to conserve energy and our methods are particularly effective for those problems. We will teach how to employ implicit methods effectively in practical computations through judicious modeling choices and careful implementation.

Please replace the paragraph beginning at page 8, line 24 with the following rewritten paragraph:

With the translated physical parameters from the biochem components module 52, the physical model module 54 defines the molecular system mathematically. At the core of the module 54 is a multibody system submodule 66. The physical model module 54 and multibody system submodule 66 are described below in detail. Co-pending applications, U.S. Patent Appln. No. 10/053,348, entitled "METHOD FOR ANALYTICAL JACOBIAN COMPUTATION IN MOLECULAR MODELING," and U.S. Appln. No. 10/053,354, entitled "METHOD FOR RESIDUAL FORM IN MOLECULAR MODELING," both filed of even date and which claim priority from the previously cited provisional patent applications, are assigned to the present assignee and are incorporated by reference herein have further descriptions of the physical model module 54 and multibody submodule 66 from the perspective of the inventions disclosed in those patent applications.

Please replace the paragraph beginning at page 9, line 10 with the following rewritten paragraph:

The visualization module 58 receives input information from the biochemical components module 52 and the analysis module 56 to provide the user with a three-dimensional graphical representation of the molecular system and the solutions obtained for the molecular system. Many visualization modules are presently available,

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an example being VMD (A. Dalke, *et al.*, VMD User's Guide, Version 1.5, June 2000, Theoretical Biophysics Group, University of Illinois, Urbana, Illinois).

Please replace the paragraph beginning at page 9, line 23 with the following rewritten paragraph:

The MBS is an abstraction of the atoms and effectively rigid bonds that make up the molecular system being modeled and is selected to simplify the actual physical system, the molecule in its environment, without losing the features important to the problem being addressed by the simulation. With respect to the general system architecture illustrated in Fig. 1, the MBS does not include the electrostatic charge or other energetic interactions between atoms nor the model of the solvent in which the molecules are immersed. The force fields are modeled in the submodule 62 and the solvent in the submodule 64 in the biochemical components module 52.

Please replace the paragraph beginning at page 10, line 30 with the following rewritten paragraph:

An asterisk indicates the transpose:  $H^*(k)$ , for example. A tilde over a vector indicates a 3 by 3 skew-symmetric cross product matrix:  $\tilde{v}w = v \times w$ .  $\underline{E}_i$  is an  $i$  by  $i$  identity matrix, and  $\underline{0}_i$  is a zero vector of length  $i$  and  $\underline{0}_i$  is an  $i$  by  $i$  zero matrix.

Please replace the paragraph beginning at page 17, line 2 with the following rewritten paragraph:

The dynamics residual,  $\rho_u(k)$ , appears because the Residual Form (in contrast to the Direct Form) of the equations of motion is used for the model. A detailed

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description of the Residual Form and Direct Form of differential equations and their integration is found in the above-referenced co-pending U.S. Patent Appln. No. 10/053,354, entitled "METHOD FOR RESIDUAL FORM IN MOLECULAR MODELING," filed of even date.

Please replace the paragraph beginning at page 19, line 21 with the following rewritten paragraph:

The present invention is directed toward the molecular modeling of systems in which undamped high frequencies (and hence accurate solutions at very small time scales) are of no interest and which do not affect the long time-scale solution of the modeling of the molecular system. An example of the problem of so-called "stiff" systems might be the modeling of a simple pendulum that rocks back and forth with a period of one second. Now, a very small mass is attached to the end of the pendulum using a very stiff spring. The natural vibration of the small mass and spring system is, say 1000 cycles per second. That is, for each swing of the pendulum, the small mass vibrates 1000 times. Furthermore, the high frequency vibrations of the small mass are hardly noticeable because of their small amplitude, and don't affect the large scale swinging motion in any significant way for the behavior we are studying. An explicit integration method with timestep and error control is applied to solve the model of the swinging pendulum. If the integrator takes very tiny timesteps, even if the high frequency vibrations are much smaller than the error tolerance, then the system is "stiff".

Please replace the paragraph beginning at page 23, line 18 with the following rewritten paragraph:

The implicit Euler integration method is illustrated in the flow chart of Fig. 6 for the vector function  $\dot{y} = f(y, t)$  (where  $y = (q, u)$ ,  $q$  representing the position states and  $u$  the velocity states of the molecular system). The function  $f$  includes both

the multibody system dynamics and the forces such as electrostatic attraction and repulsion, van der Waal's forces, and solvation forces. After an entry step 79, the first operation step 80 updates the Iteration matrix  $G$ . For all implicit integration methods, the Iteration matrix  $G$  has the form  $G = I - \alpha J$ , where  $I$  is the identity matrix,  $\alpha$  is some scalar function of the timestep  $h_n$ , the timestep between time  $t_n$  and  $t_{n-1}$ , and  $J$ , the Jacobian given by  $J = \frac{\partial f}{\partial y}$ . For the implicit Euler method,  $\alpha = h_n$ . In passing, for additional savings in computer time, it should be noted that a very efficient method of computing Jacobian matrices from the residual form of equations is covered in previously cited co-pending U.S. Patent Appln. No. 10/053,348, entitled "METHOD FOR ANALYTICAL JACOBIAN COMPUTATION IN MOLECULAR MODELING," filed of even date and is assigned to the present assignee. As in the case of the present invention, the same referenced patent application also describes the use of internal coordinates to describe the state of the molecular system. For example, the rotation of one part of the molecule is described with respect to another part, rather than with respect to an external referenced coordinates. This further increases computing efficiency.

Please replace the paragraph beginning at page 28, line 29 with the following rewritten paragraph:

The present invention could be used to simulate many other biomolecules such as RNA, DNA, polysaccharides, and lipids. Also, molecular structures of combinations of these biomolecules such as protein-RNA complexes such as ribosomes and protein-DNA complexes such as histones and DNA in chromatin could be simulated. Processes which modify the structure of proteins could be simulated, such as the post translational modifications of proteins by chaperone proteins.

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REMARKS

Consideration of the patent application, as preliminarily amended, is respectfully requested.

By this amendment of the specification, the applicants have corrected various typographical errors which they have found, filled in blank spaces in the text of the specification with now assigned U.S. Application. Nos., and described their invention with greater specificity.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 650-326-2400.

Respectfully submitted,

  
Joe Liebeschuetz  
Reg. No. 37,505

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PA 3224782 v1

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

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The paragraph beginning at page 29, line 2 has been amended as follows:

The present invention can be used as a core computation in many algorithms pertaining to computational molecular modeling. For example, an algorithm may choose a set of initial conditions according to some desired criteria (e.g., statistical distribution) and take one member of the set as the starting configuration of each of many separate molecular dynamics runs. Each run may be done on a separate computer as part of a massively parallel computation, or some or all may run on a single computer. The present invention is used to perform the molecular dynamics; then the results are obtained by the higher-level algorithm for further processing. Another algorithm is a simulation of a ribosome deployment or extrusion of a protein, in which the molecular model grows as amino acids are added to the protein at a physically realistic rate, or with some other chosen rate, with the present invention used to simulate the behavior and properties of each length of the developing protein. Another class of algorithms [is] are those that mix occasional energy-increasing events with energy conserving or dissipating simulations done using the present invention. Such algorithms typically contain inputs designed to capture temperature-bath effects generated by solvent, for example Langevin terms or other energy-increasing effects designed to functionally or statistically model temperature effects.

The paragraph beginning at page 35, line 2 has been amended as follows:

Therefore, while the foregoing is a complete description of some of the embodiments of the invention, it should be evident that various modifications, alternatives and equivalents may be made and used. Accordingly, the above description should not be taken as limiting the scope of the invention which is defined by the metes and bounds of the appended claims.